

CLIENT SCREENING FORM

1	Company Details	Company Name	
		Date of Incorporation	
		Country of Incorporation	
		Activities involved in	
		Registered office Address	
		Website Address	
		Contact Number	
		Contact Person	
		Position of Contact Person within Company	
		Name of the Compliance/Money Laundering Reporting Officer ("MLRO") of the Company	
		Contact Details of Compliance/MLRO (Phone/Fax Number & Email Address)	
2	Regulator's Details (if any)	Name	
		Registered office Address	
		Website Address	
		Number/reference of Licence/registration/ authorization issued to Company	
		Type of licence/registration/authorisation issued to Company	
		Contact Number	
		Contact Person within the Regulator	
3	Is Company listed on any exchange? YES / NO If yes, please provide details.		
4	Details of any restriction(s) to licence/registration/authorization issued to Company by its Regulator		
5	Has the Company or any of its directors: (a) been the subject of any punitive action, (b) been refused a licence or equivalent authorisation to carry on a business activity, (c) have had a licence or equivalent authorisation to carry on a business activity revoked, (d) been censured, disciplined or publicly criticized or adversely commented upon, (e) is, or has been the subject of any investigation by any Court of Law, Regulatory Authority, officially appointed enquiry or by any professional body, or other public institutions to which you belong or have belonged ? YES / NO If yes, please provide details.		

6	<p>Has the Company or any of its directors ever been adjudicated bankrupt by any Court or entered into a compromise or arrangement with creditors?</p> <p>YES/NO</p> <p>If yes, please provide details.</p>
7	<p>Is the Company subject to any laws/ regulations designed to prevent money laundering and financing of terrorist activities?</p> <p>YES/NO</p> <p>If yes, please provide details.</p>
8	<p>Details of internal procedures and controls implemented to combat money laundering and financing of terrorist activities</p>
9	<p>Will the Company be trading in its own name, or in the name /on behalf of third parties?</p>
10	<p>Is the Company or its clients subject to any Foreign Exchange Restrictions?</p> <p>YES/NO</p> <p>If yes, please provide details.</p>
11	<p>I certify that the above information is complete and correct to the best of my knowledge. I undertake to advise MCB Securities Ltd of any material change that occurs at any time after this form is submitted and until such time as I am no longer connected to the Company.</p> <p>By signing below, I authorise the MCB Securities Ltd to contact any person named herein to counter-verify the contents of this form.</p> <p>Name of Signatory: _____</p> <p>Signature: _____</p> <p>Date: _____</p>